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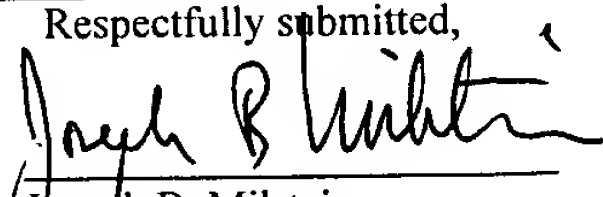
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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	INK-064 (2108/ )
	First Named Inventor	Comiskey
	Title	Protective Electrodes for Electrophoretic Displays
<b>APPLICATION ELEMENTS</b>		<b>ADDRESS TO: Box Patent Application</b> Assistant Commissioner for Patents Washington, D.C. 20231
1. <input type="checkbox"/> Fee Transmittal Form		<b>ACCOMPANYING APPLICATION PARTS</b>
2. <input checked="" type="checkbox"/> Specification and Drawings [Total Pages 67] <input checked="" type="checkbox"/> - Specification - (48 pages) <input checked="" type="checkbox"/> - Claims - (7 pages) <input checked="" type="checkbox"/> - Abstract - (1 page) <input checked="" type="checkbox"/> - Sheets of Drawings - (11 sheets) <input type="checkbox"/> Formal <input checked="" type="checkbox"/> Informal		7. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney
3. <input type="checkbox"/> Oath or Declaration [Total Pages ] a. <input type="checkbox"/> Newly executed (original) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> <i>[Note Box 4 below]</i>		8. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>
4. <input type="checkbox"/> Incorporation by Reference (usable if Box 3b is checked) The entire Disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		9. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
5. <input type="checkbox"/> Microfiche Computer Program <i>(Appendix)</i>		10. <input type="checkbox"/> Preliminary Amendment <input type="checkbox"/> Drawings [Total Sheets ] <input type="checkbox"/> Letter to Official Draftsperson Including Drawings [Total Pages ]
6. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Paper Copy (identical to computer copy) <input type="checkbox"/> Statement verifying identify of above copies		11. <input checked="" type="checkbox"/> Return Receipt Postcard
		12. <input checked="" type="checkbox"/> Small Entity Statement(s) <input type="checkbox"/> Statements filed in prior application, (Status still proper and desired)
		13. <input type="checkbox"/> Certified Copy of Priority Document(s)
		14. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application.
		15. <input checked="" type="checkbox"/> Patent Application Data Entry Form
		16. <input type="checkbox"/> Other:
17. <input type="checkbox"/> If a <b>CONTINUING APPLICATION</b> , check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application Serial No. ____/____. <b>Priority to the above application(s) is claimed under 35 U.S.C. 120.</b> Prior application information: Examiner: ____ . Group/Art Unit: ____.		
18. <input checked="" type="checkbox"/> <b>Priority - 35 U.S.C. 119</b> <input checked="" type="checkbox"/> Priority of applications Serial No. 60/113,078 filed on 12/21/98, Serial No. 60/113,418 filed on 12/22/98, and Serial No. 60/115,052 filed on 1/8/99, all filed in <u>USA</u> is claimed under 35 U.S.C. 119. <input type="checkbox"/> The certified copy has been filed in prior U.S. application Serial No. ____/____ on ____. <input type="checkbox"/> The certified copy will follow.		
<b>CORRESPONDENCE ADDRESS</b>		<b>SIGNATURE BLOCK</b>
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibault, LLP High Street Tower 125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100		Respectfully submitted,  Joseph B. Milstein Atty/Agent for Applicant(s) Testa, Hurwitz & Thibault, LLP High Street Tower 125 High Street Boston, MA 02110 Date: December 20, 1999 Reg. No. 42,897 Tel. No.: (617) 248-7695 Fax No.: (617) 248-7100

**STATEMENT CLAIMING SMALL ENTITY STATUS**  
**(37 CFR 1.9(f) & 1.27(c))-- SMALL BUSINESS CONCERN**Attorney Docket No. **INK-064**  
(2108/ )

Applicant, Patentee, or Identifier: **Comiskey et al.**  
Application or Patent No.: **Not yet assigned.**  
Filed or Issued: **Herewith**  
Title: **Protective Electrodes for Electrophoretic Displays**

I hereby state that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN: E Ink Corporation.ADDRESS OF SMALL BUSINESS CONCERN: 45 Spinelli Place, Cambridge, MA 02138.

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 1.9(d) and 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.  
☐ the application identified above.  
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

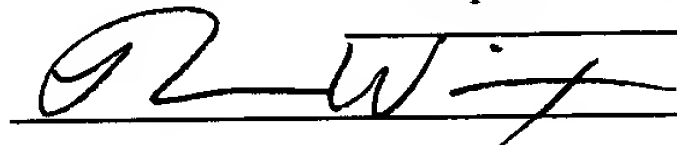
- ☒ no such person, concern, or organization exists.  
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

NAME OF PERSON SIGNING Russell J. WilcoxTITLE OF PERSON IF OTHER THAN OWNER Vice President, Business DevelopmentADDRESS OF PERSON SIGNING 45 Spinelli Place, Cambridge, MA 02138

SIGNATURE



DATE

12/17/99